

On Boman's Theorem On Partial Regularity Of Mappings

Tejinder S. Neelon

ABSTRACT. Let $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$ and k be a positive integer. Let $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ be a locally bounded map such that for each $(\xi, \eta) \in \Lambda$, the derivatives $D_\xi^j f(x) := \frac{d^j}{dt^j} f(x + t\xi) \Big|_{t=0}$, $j = 1, 2, \dots, k$, exist and are continuous. In order to conclude that any such map f is necessarily of class C^k it is necessary and sufficient that Λ be *not* contained in the zero-set of a nonzero homogenous polynomial $\Phi(\xi, \eta)$ which is linear in $\eta = (\eta_1, \eta_2, \dots, \eta_m)$ and homogeneous of degree k in $\xi = (\xi_1, \xi_2, \dots, \xi_n)$.

This generalizes a result of J. Boman for the case $k = 1$. The statement and the proof of a theorem of Boman for the case $k = \infty$ is also extended to include the Carleman classes $C\{M_k\}$ and the Beurling classes $C(M_k)$. ([4])

A continuous function $f : \mathbb{R}^n \rightarrow \mathbb{R}$ that is differentiable when restricted to arbitrary differentiable curves is not necessarily differentiable as a function of several variables [12]. Indeed, there are discontinuous functions $f : \mathbb{R}^n \rightarrow \mathbb{R}$ whose restrictions to arbitrary analytic arcs are analytic [2]. But a C^∞ function $f : \mathbb{R}^n \rightarrow \mathbb{R}$ whose restriction to every line segment is real analytic is necessarily real analytic ([13]). In [8], [9], [10] and [11] this result was extended by considering restrictions to algebraic curves and surfaces of functions belonging to more general classes of infinitely differentiable functions. It is also well known that a function $f : \mathbb{R}^n \rightarrow \mathbb{R}$ that is infinitely differentiable in each variable separately may be no better than measurable ([7]). In [4], the obverse problem is considered; for vector valued functions hypothesis is made on the source as well as the target space. In this note, Theorem 4 of [4] is generalized to C^k , $k \geq 1$, the class of functions that have continuous derivatives up to order k .

Let $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ be a locally bounded map. For $(\xi, \eta) \in \mathbb{R}^n \times \mathbb{R}^m$, set

$$D_\xi \langle f, \eta \rangle (x) := \frac{d}{dt} \langle f(x + t\xi), \eta \rangle \Big|_{t=0} \quad \text{in the sense of distributions,}$$

where $\langle \cdot, \cdot \rangle$ denotes the inner product on \mathbb{R}^m . By the Leibniz Integral rule, we have

$$\frac{d}{dt} \int \langle f(x + t\xi), \eta \rangle dx = \int \frac{d}{dt} \langle f(x + t\xi), \eta \rangle dx.$$

1991 *Mathematics Subject Classification.* 26B12, 26B35.

Key words and phrases. C^k maps, partial regularity, Carleman classes, Beurling classes.

Let $k, 1 \leq k < \infty$, be fixed. For $\xi \in \mathbb{R}^n$, denote by $C_\xi^k(\mathbb{R}^n)$ the space of all continuous functions $f : \mathbb{R}^n \rightarrow \mathbb{R}$ such that the derivatives $D_\xi^j f(x) := \frac{d^j}{dt^j} f(x + t\xi) \Big|_{t=0}$, $j = 1, 2, \dots, k$, exist and are continuous. Similarly, $C_\xi^\infty(\mathbb{R}^n) := \cap_{k=0}^\infty C_\xi^k(\mathbb{R}^n)$.

We are interested in finding the necessary and sufficient conditions on a subset $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$ to have the following property:

$$\begin{aligned} \text{if } f &: \mathbb{R}^n \rightarrow \mathbb{R}^m \text{ is locally bounded} \\ \text{such that } \langle f, \eta \rangle &\in C_\xi^k(\mathbb{R}^n), \forall (\xi, \eta) \in \Lambda, \text{ then } f \in C^k(\mathbb{R}^n). \end{aligned}$$

The case $k = 1$ and $k = \infty$ was dealt in [4].

Let \mathbb{Z}_+^n denote all n -tuples of nonnegative integers. For $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \in \mathbb{Z}_+^n$, set $|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_n$. The set \mathbb{Z}_+^n of multi-indices is assumed to be ordered lexicographically i.e. for $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n), \beta = (\beta_1, \beta_2, \dots, \beta_n) \in \mathbb{Z}_+^n$, define $\alpha \prec \beta$ if there is $i, 1 \leq i \leq n$, such that $\alpha_1 = \beta_1, \alpha_2 = \beta_2, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i < \beta_i$.

Let $k_n = \binom{k+n-1}{k}$ denote the number of monomials of degree k in n variables. Then for any $\varphi \in C_c^\infty(\mathbb{R}^n)$, we have

$$\begin{aligned} \int D_\xi \langle f, \eta \rangle(x) \varphi(x) dx &= \frac{d}{dt} \int \langle f(x + t\xi), \eta \rangle \varphi(x) dx \Big|_{t=0} \\ &= \frac{d}{dt} \left\langle \int f(x) \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} = \left\langle \int f(x) \frac{d}{dt} \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} \\ &= - \sum_i \xi_i \left\langle \int f(x) \partial_i \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} = \sum_{i,j} \xi_i \eta_j \int \partial_i f_j(x) \varphi(x) dx. \end{aligned}$$

By iteration, we obtain the formula for higher-order distributional derivatives:

$$(1) \quad D_\xi^p \langle f, \eta \rangle(x) = \sum_{|\alpha|=p} \sum_{j=1}^m \xi^\alpha \eta_j \partial^\alpha f_j(x).$$

Let

$$\mathcal{B}_k := \left\{ \Phi(\xi, \eta) = \sum_{j=1}^m \sum_{|\alpha|=k} \varphi_{\alpha j} \xi^\alpha \eta_j : \varphi_{\alpha j} \in \mathbb{R}, \alpha \in \mathbb{Z}_+^n, j \in \mathbb{Z}_+ \right\}.$$

For any function $\Phi(\xi, \eta)$, set $\|\Phi\| := \max_{\|\xi\| \leq 1, \|\eta\| \leq 1} |\Phi(\xi, \eta)|$. For a subset $K \subset \subset \Lambda$, ($\subset \subset$ denotes the compact inclusion) put $\|\Phi\|_K := \max_{(\xi, \eta) \in K} |\Phi(\xi, \eta)|$.

Theorem 1. *Let $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$ be a subset and k be a positive integer. The following conditions are equivalent.*

(i) Λ is not contained in an algebraic hypersurface defined by an element of \mathcal{B}_k i.e.

$$\Phi \in \mathcal{B}_k, \Phi|_\Lambda \equiv 0 \Rightarrow \Phi \equiv 0;$$

(ii) there exists a set consisting of $m \cdot k_n$ points

$$(\xi^*, \eta^*) = \left\{ \left(\xi^{(p)}, \eta^{(p)} \right) \in \Lambda, p = 1, 2, \dots, m k_n \right\} \text{ such that } \det \Delta(\xi^*, \eta^*) \neq 0,$$

where

$$\Delta(\xi^*, \eta^*) := \left[\left(\xi^{(p)} \right)^\alpha \eta_j^{(p)} \right]_{|\alpha|=k, 1 \leq j \leq m, 1 \leq p \leq m k_n};$$

(iii) if $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ is locally bounded and $\langle f, \eta \rangle \in C_\xi^k(\mathbb{R}^n), \forall (\xi, \eta) \in \Lambda$, then $f \in C^k(\mathbb{R}^n, \mathbb{R}^m)$.

If any one of the above equivalent conditions is satisfied, then there exists a constant B depending only on Λ such that the following inequality holds for all locally bounded maps $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$:

$$(2) \quad \max_{1 \leq j \leq m} \max_{|\alpha|=k} |\partial^\alpha f_j(x)| \leq B \cdot \sup_{(\xi, \eta) \in \Lambda} |D_\xi^k \langle f, \eta \rangle(x)|, \forall x \in \mathbb{R}^n.$$

PROOF. We will prove $(i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (i)$.

$(i) \Rightarrow (ii)$.

Suppose $\det \Delta(\xi^*, \eta^*) = 0$ for every set of mk_n elements

$(\xi^*, \eta^*) = \left\{ \left(\xi^{(p)}, \eta^{(p)} \right) \right\}_{1 \leq p \leq mk_n}$ in Λ . Fix one such set (ξ^*, η^*) so that the rank $l := \text{rank } \Delta(\xi^*, \eta^*)$ is positive. Let $\Delta^{(l)}$ denote some $l \times l$ submatrix of $\Delta(\xi^*, \eta^*)$ such that the minor $\det \Delta^{(l)}$ is nonzero. Let $\Delta^{(l+1)}$ be a $(l+1) \times (l+1)$ submatrix of $\Delta(\xi^*, \eta^*)$ that contains $\Delta^{(l)}$ as a submatrix. Replace the point $(\xi^{(p_0)}, \eta^{(p_0)})$ in $\Delta^{(l+1)}$ which does not appear in $\Delta^{(l)}$ by variables $(\xi, \eta) \in \mathbb{R}^n \times \mathbb{R}^m$. By expanding $\Delta^{(l+1)}$ along the row where the replacement took place we obtain an element

$$\Phi(\xi, \eta) = \sum_{\alpha, j} \varphi_{\alpha j} \xi^\alpha \eta_j,$$

of \mathcal{B}_k which is nonzero since one of its coefficients coincides with $\det \Delta^{(l)}$ up to a sign.

Since $\Delta(\xi^*, \eta^*)$ has rank l , we find that $\Phi(\xi, \eta) = 0$ for all $(\xi, \eta) \in (\xi^*, \eta^*)$. If $\Phi(\xi, \eta) = 0$ for all $(\xi, \eta) \in \Lambda$, we are done. Otherwise, choose a point $(\tilde{\xi}, \tilde{\eta}) \in \Lambda \setminus (\xi^*, \eta^*)$ with $\Phi(\tilde{\xi}, \tilde{\eta}) \neq 0$.

Let $(\tilde{\xi}^*, \tilde{\eta}^*)$ be the set which is obtained from (ξ^*, η^*) by replacing the point $(\xi^{(p_0)}, \eta^{(p_0)})$ by $(\tilde{\xi}, \tilde{\eta})$. Then, the rank $\Delta(\tilde{\xi}^*, \tilde{\eta}^*) \geq l+1$. By repeating above procedure, we find a sequence of subsets $(\xi^*, \eta^*)^{(i)} \subset \Lambda$, $i = 1, 2, 3, \dots$, each with mk_n elements such that the rank $\Delta(\xi^*, \eta^*)^{(j)}$ is a strictly increasing sequence of nonnegative integers. After finitely many steps we obtain a nonzero element of \mathcal{B}_k which vanishes on the entire Λ .

$(ii) \Rightarrow (iii)$.

Let $(\xi^*, \eta^*) = \left\{ \left(\xi^{(p)}, \eta^{(p)} \right) \in \Lambda \right\}_{1 \leq p \leq mk_n}$ be a set of points such that $\det \Delta(\xi^*, \eta^*) \neq 0$. By applying Cramer's rule to (1), we get

$$\partial^\alpha f_j(x) = \sum_{p=1}^{mk_n} \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle(x) \text{ in the distributional sense,}$$

where $\Delta_{\alpha j}^{(p)}$ denotes the cofactor obtained by deleting the (α, j) -th row and the p -th column. Since $D_\xi^k \langle f, \eta \rangle \in C^0$ for all $(\xi, \eta) \in \Lambda$, we have

$$\partial^\alpha f_j(x) = \sum_{p=1}^{mk_n} \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle(x) \in C^0.$$

Furthermore, there exists a constant $B = B(k, f, \Lambda)$ such that

$$|\partial^\alpha f_j(x)| \leq \sum_{p=1}^{mk_n} \left| \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} \right| \left| D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle(x) \right| \leq B \cdot \sup_{(\xi, \eta) \in \Lambda} |D_\xi^k \langle f, \eta \rangle(x)|,$$

for all α with $|\alpha| = k$, and all $j = 1, 2, \dots, m$.

(iii) \Rightarrow (i).

Suppose (i) does not hold. Let $\Phi \in \mathcal{B}_k$ be such that $\Phi|_{\Lambda} \equiv 0$. We can write $\Phi(\xi, \eta) = \langle \varphi(\xi), \eta \rangle$, where $\varphi(\xi) := (\varphi_1(\xi), \varphi_2(\xi), \dots, \varphi_m(\xi))$ and $\varphi_j(\xi) = \sum_{|\alpha|=k} \varphi_{\alpha j} \xi^{\alpha}$, $j = 1, 2, \dots, m$, homogeneous polynomials of degree k .

Define the map

$$f(x) := \begin{cases} (\ln |\ln |x||) \varphi(x) & \text{if } x \neq 0, \\ 0 & \text{if } x = 0 \end{cases}.$$

Clearly $f \notin C^k$ and f is C^∞ in $\{x \in \mathbb{R}^n : 0 < |x| < 1\}$. We will prove that $D_\xi^k \langle f(x), \eta \rangle$ exists at $x = 0$, for all $(\xi, \eta) \in \Lambda$. It is easy to see that here are constants C_α such that

$$|\partial^\alpha \ln |\ln |x||| \leq \frac{C_\alpha}{|x|^{|\alpha|} |\ln |x||}, \forall \alpha, |\alpha| \geq 1.$$

Since the $\varphi_j(x)$'s are homogeneous polynomials of degree k , when the Leibniz's formula is applied to the products $(\ln |\ln |x||) \varphi_j(x)$, it is clear that all terms in $D_\xi^p \langle f(x), \eta \rangle$, $1 \leq p \leq k$, except possibly

$$(3) \quad (\ln |\ln |x||) \langle D_\xi^k \varphi(x), \eta \rangle$$

tend to 0 as $x \rightarrow 0$. We only need to prove that the function in (3) also tends to 0 as $x \rightarrow 0$. By expanding $(x_1 + t\xi_1)^{\alpha_1} (x_2 + t\xi_2)^{\alpha_2} \dots (x_n + t\xi_n)^{\alpha_n}$ binomially, we can write

$$\varphi(x + t\xi) := \varphi(x) + P(x, \xi, t) + \varphi(\xi) t^k.$$

But since $(\xi, \eta) \in \Lambda$,

$$\langle D_\xi^k \varphi(x), \eta \rangle = k! \langle \varphi(\xi), \eta \rangle = 0.$$

It follows that $|D_\xi^p \langle f(0), \eta \rangle| = 0$ for $p \leq k$. Thus, $f \in C_\xi^k$ for all $(\xi, \eta) \in \Lambda$, but $f \notin C^k$. \square

Remark 1. (cf. [6]) Suppose (i) is satisfied for all $k \geq 0$. It would be of interest to know whether there exists a constant $\rho = \rho(\Lambda)$, depending only on some appropriate notion of capacity of Λ , so that (2) is satisfied with $B = (\rho(\Lambda))^{-k}$ for all f and all k .

Remark 2. Suppose Λ satisfies (i) or (ii). The proof of Theorem 1 shows that if f is continuous and $D_\xi^k \langle f, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda$, then f is a polynomial. The assumption of continuity of f is not necessary but our proof is valid only if f is continuous. See [4].

Remark 3. If Λ satisfies (i), then Λ contains at least mk_n elements. Furthermore, if (i) holds for k then (i) also holds for all $j \leq k$. Suppose there exists $\Phi \in \mathcal{B}_j$, $j < k$ such that $\Phi|_{\Lambda} \equiv 0$ but $\Phi \not\equiv 0$. Then, $\xi_1^{k-j} \Phi \in \mathcal{B}_k$, $\xi_1^{k-j} \Phi|_{\Lambda} \equiv 0$ but this is a contradiction.

Let $\{M_k\}_{k=0}^\infty$ be a sequence of nonnegative numbers. For $h > 0$ and $K \subset \subset \mathbb{R}^n$ define the seminorm on $C^\infty(\mathbb{R}^n)$,

$$p_{h, K}(f) = \sup_{\alpha \in \mathbb{Z}_+^n} \sup_{x \in K} \frac{|\partial^\alpha f(x)|}{h^{|\alpha|} M_{|\alpha|}}.$$

The spaces

$$C\{M_k\} = \{f \in C^\infty(\mathbb{R}^n) : \forall K \subset \subset \mathbb{R}^n, \exists h > 0, \text{ s.t. } p_{h,K}(f) < \infty\}$$

and

$$C(M_k) = \{f \in C^\infty(\mathbb{R}^n) : p_{h,K}(f) < \infty, \forall K \subset \subset \mathbb{R}^n, \forall h > 0\}$$

are called the Carleman and Beurling classes, respectively. The classes $C\{(k!)^\nu\}$, $\nu > 1$, known as Gevrey classes, are especially important in partial differential equations and harmonic analysis. The class $C\{k!\}$ is precisely the class of real analytic functions.

We assume that

$$(4) \quad M_0 = 1 \text{ and } M_k \geq k!, \forall k;$$

$$(5) \quad M_k^{1/k} \text{ is strictly increasing;}$$

$$(6) \quad \exists C > 0 \text{ such that } M_{k+1} \leq C^k M_k, \forall k.$$

These conditions insure that the classes $C\{M_k\}$ and $C(M_k)$ are nontrivial and are closed under product and differentiation of functions. For more properties of these spaces, see [5], [11] and references there.

It is well known that $f \in C^\infty(\mathbb{R}^n)$ if and only if $\sup_{\xi \in \mathbb{R}^n} |\xi|^j |\widehat{\chi f}(\xi)| < \infty, \forall \chi \in C_c^\infty(\mathbb{R}^n), j \geq 1$. A similar characterization is also available for $C\{M_k\}$ (see [5]) a routine modification of which yields an analogous characterization of $C(M_k)$.

Let $r > 0$. Choose a sequence of cut-off functions $\chi_{(j)} \in C_c^\infty, j = 1, 2, \dots$, such that $\chi_{(j)}(x) = 1$ if $|x - x_0| < r$, $\chi_{(j)}(x) = 0$ if $|x - x_0| > 3r$ and

$$|\partial^\alpha \chi_{(j)}(x)| \leq (C_1 j)^{|\alpha|}, \forall j, \forall |\alpha| \leq j, \forall x,$$

where the constant C_1 is independent of j .

Then $f \in C\{M_k\}$ (resp. $C(M_k)$) in a neighborhood of $x_0 \in \mathbb{R}^n$ if and only if there exists a constant $\hbar > 0$ (resp. for every $\hbar > 0$)

$$\sup_{\xi \in \mathbb{R}^n} \sup_{j \geq 1} \hbar^{-j} M_j^{-1} |\xi|^j |\widehat{f \chi_{(j)}}(\xi)| < \infty.$$

Call a subset $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$ a determining set for bilinear forms of rank 1 if there is no nonzero bilinear form $\varphi(\xi, \eta), \xi \in \mathbb{R}^n, \eta \in \mathbb{R}^m$ of rank 1 such that $\varphi(\xi, \eta) = 0$ for all $(\xi, \eta) \in \Lambda$.

Clearly Λ is a determining set for bilinear forms of rank 1 if and only if

$$\langle u, \xi \rangle \langle v, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda \Rightarrow |u||v| = 0$$

(here $\langle u, \xi \rangle$ and $\langle v, \eta \rangle$ are dot products on \mathbb{R}^n and \mathbb{R}^m , respectively), or equivalently,

$$\cap_{(\xi, \eta) \in \Lambda} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\} = (\mathbb{R}^n \times 0) \cup (0 \times \mathbb{R}^m).$$

Since $\mathbb{R}[u, v]$ is a Noetherian ring, Λ contains a finite subset Λ' such that the sets $\{\langle u, \xi \rangle \langle v, \eta \rangle : (\xi, \eta) \in \Lambda\}$ and $\{\langle u, \xi \rangle \langle v, \eta \rangle : (\xi, \eta) \in \Lambda'\}$ generate the same ideal in $\mathbb{R}[u, v]$ and thus define the same varieties:

$$\begin{aligned} \cap_{(\xi, \eta) \in \Lambda} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\} \\ = \cap_{(\xi, \eta) \in \Lambda'} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\}. \end{aligned}$$

Thus, any determining set for bilinear forms of rank 1 contains a finite determining set for bilinear forms of rank 1.

Let $C\{M_k\}(\xi)$ (resp. $C(M_k)(\xi)$) denote the set of all $f \in C_\xi^\infty(\mathbb{R}^n)$ such that for every subset $K \subset \subset \mathbb{R}^n$, $\sup_{j,x \in K} |D_\xi^j f(x)| \hbar^{-j} M_j^{-1} < \infty, \forall j$, for some $\hbar > 0$ (resp. for every $\hbar > 0$).

Theorem 2. *Let $\{M_k\}_{k=0}^\infty$ be a sequence of nonnegative numbers satisfying the conditions (4), (5) and (6). The following statements are equivalent.*

- (i) Λ is a determining set for bilinear forms of rank 1;
- (ii) for any locally bounded map $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$,

$$\langle \eta, f \rangle \in C\{M_k\}(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C\{M_k\};$$

- (iii) for any locally bounded map $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$,

$$\langle \eta, f \rangle \in C(M_k)(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C(M_k);$$

- (iv) for any locally bounded map $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$,

$$\langle \eta, f \rangle \in C^\infty(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C^\infty.$$

PROOF. (cf. Theorem 4 in [4]) Assume (i) holds. By the remark above, by replacing Λ by a subset, if necessary, we may assume Λ is finite. Suppose for every $(\eta, \xi) \in \Lambda$, $\langle \eta, f \rangle \in C\{M_k\}(\xi)$ (resp. $\langle \eta, f \rangle \in C(M_k)(\xi)$). Now for a suitable function f ,

$$\begin{aligned} \langle \xi, z \rangle \widehat{\langle \eta, f \rangle}(z) &= \langle \xi, z \rangle \langle \eta, \widehat{f}(z) \rangle = \left\langle \eta, i \int [\langle \xi, \partial_x \rangle e^{-i\langle x, z \rangle}] f(x) dx \right\rangle \\ &= \left\langle \eta, -i \int e^{-i\langle x, z \rangle} \langle \xi, \partial_x f \rangle(x) dx \right\rangle = \left\langle \eta, -i \int e^{-i\langle x, z \rangle} D_\xi f(x) dx \right\rangle. \end{aligned}$$

Let $g_{(j)} := f \chi_{(j)} \in C\{M_k\}$ near a fixed point x_0 . Assume, without loss of generality, $x_0 = 0$. By assumption, for all $(\xi, \eta) \in \Lambda$ there exist constants $C = C_{\xi\eta}$ and $\hbar = \hbar_{\xi\eta} > 0$ (resp. for all $(\xi, \eta) \in \Lambda$ and for all $\hbar > 0$ there exists a constant $C = C_{\xi\eta, \hbar}$) such that

$$|\widehat{\langle \eta, g_{(j)} \rangle}(\zeta)| |\langle \xi, \zeta \rangle|^j = |\langle \eta, \widehat{g_{(j)}}(\zeta) \rangle| |\langle \xi, \zeta \rangle|^j \leq C \hbar^j M_j, \forall (\xi, \eta) \in \Lambda, \zeta \in \mathbb{R}^n, j \in \mathbb{Z}_+.$$

The function

$$(7) \quad \mathbb{R}^n \times \mathbb{R}^m \ni (u, v) \rightarrow \sum_{(\xi, \eta) \in \Lambda} |\langle \eta, v \rangle| |\langle \xi, u \rangle|^l,$$

is homogeneous of degree 1 in v , of homogeneous degree l in u . Since none of the terms $|\langle \eta, v \rangle| |\langle \xi, u \rangle|$ can vanish on all of Λ , the function in (7) has a positive minimum on the compact set $\{(u, v) : |u| = 1, |v| = 1\}$. Thus, there is an $\varepsilon > 0$ such that

$$\sum_{(\xi, \eta) \in \Lambda} |\langle \eta, v \rangle| |\langle \xi, u \rangle|^l \geq \varepsilon |v| |u|^l,$$

(see Lemma 1 [4]). Applying this to $u = \zeta, v = \widehat{g_{(j)}}(\zeta)$, we get

$$|\widehat{g_{(j)}}(\zeta)| |\zeta|^l \leq \varepsilon^{-1} \sum_{(\xi, \eta) \in \Lambda} |\langle \eta, \widehat{g_{(j)}}(\zeta) \rangle| |\langle \xi, \zeta \rangle|^l \leq C \hbar^j M_j,$$

where $\hbar = \max_{(\xi, \eta) \in \Lambda} \hbar_{\xi\eta}$ (resp. for all $\hbar > 0$) and $C = \varepsilon^{-1} \sum_{(\xi, \eta) \in \Lambda} C_{\xi\eta}$. Thus (ii) and (iii) hold. By setting $\hbar = 1$ and $M_j = 1, \forall j$, in the above argument, it is clear that (iii) holds as well.

Conversely if Λ is not a determinant set for bilinear forms of rank 1, there exist $u \neq 0$ and $v \neq 0$ such that

$$\langle u, \xi \rangle \langle v, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda.$$

Let $h : \mathbb{R} \rightarrow \mathbb{R}$ be an arbitrary continuous function. Let $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ be defined as $f(z) = h(\langle u, z \rangle) \cdot v$. Then

$$\left(\frac{d}{dt} \langle \eta, f(z + t\xi) \rangle \right) \Big|_{t=0} = \langle \eta, v \rangle \langle u, \xi \rangle h'(\langle u, z + t\xi \rangle)|_{t=0} \equiv 0$$

Thus $\langle \eta, f \rangle \in C(M_k)(\xi) \subset C\{M_k\}(\xi) \subset C^\infty(\xi), \forall (\xi, \eta) \in \Lambda$ but f need not be even differentiable. \square

References

- [1] Agbor, D., and Boman, J., On modulus of continuity of mappings between Euclidean spaces, *Mathematica Scandinavica*. To appear
- [2] Bierstone, E.; Milman, P. D.; Parusinski, A.: A function which is arc-analytic but not continuous. *Proc. Amer. Math. Soc.* 113 (1991) 419–423.
- [3] Bochnak, J.: Analytic functions in Banach Spaces, *Studia Mathematica*, XXXV (1970) 273–292.
- [4] Boman, J., Partial regularity of mappings between Euclidean spaces. *Acta Math.* 119. 1967. 1–25.
- [5] Hormander, L., *The analysis of linear partial differential operators I*, Springer-Verlag.
- [6] Korevaar, J., Applications of \mathbb{C}^n capacities. Several complex variables and complex geometry, Part 1 (Santa Cruz, CA, 1989), 105–118,
- [7] Krantz S. G. and Parks H.R., *A primer of real analytic functions*. Second edition. Birkhauser, 2002.
- [8] Neelon, T. S., On separate ultradifferentiability of functions. *Acta Sci. Math. (Szeged)* 64 (1998) 489–494.
- [9] Neelon, T. S., Ultradifferentiable functions on lines in \mathbb{R}^n . *Proc. Amer. Math. Soc.* 127 (1999) 2099–2104.
- [10] Neelon, T. S., A Bernstein–Walsh type inequality and applications. *Canad. Math. Bull.* 49 (2006) 256–264.
- [11] Neelon, T. S., Restrictions of power series and functions to algebraic surfaces. *Analysis*, Vol. 29, Issue 1 (2009), page 1–15.
- [12] Rudin, W., *Principles of Mathematical Analysis*, 3rd ed. McGraw-Hill. 1976.
- [13] Siciak, J.: A characterization of analytic functions of n real variables, *Studia Mathematica*, XXXV (1970) 293–297.

DEPARTMENT OF MATHEMATICS, CALIFORNIA STATE UNIVERSITY SAN MARCOS, SAN MARCOS, CA 92096-0001, USA

E-mail address: neelon@csusm.edu

URL: <http://www.csusm.edu/neelon/neelon.html>